

# A flexible, extensible software framework for model compression based on the LC algorithm

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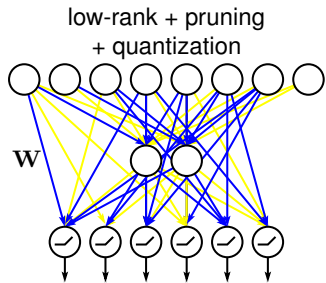
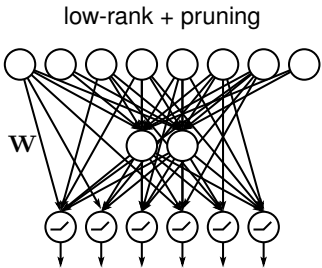
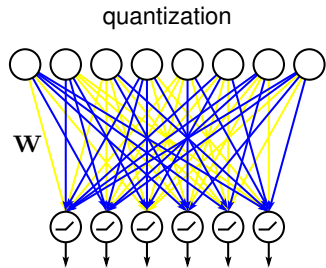
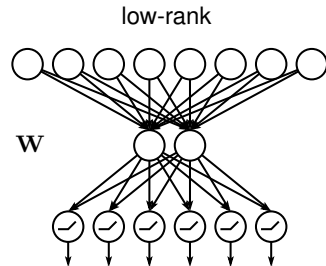
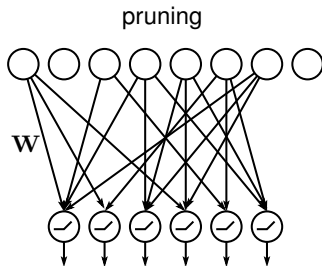
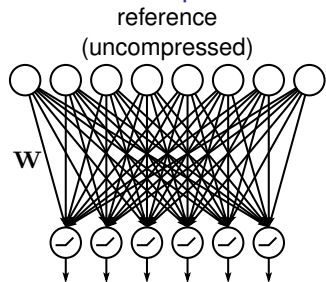
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The code is available at:

<https://github.com/UCMerced-ML/LC-model-compression>

# The fundamental problem of model compression: what to choose?



## Challenges

In principle, **we want to explore all possible combinations**, and select the best. But:

- Many compression schemes  $\implies$  many algorithms
- How to maintain a library of many compressions?
- How to make it user friendly?
  - many algorithms  $\implies$  many failure points
- How to make it extensible and easily maintainable?

We propose a software based on the **Learning-Compression (LC) algorithm**:

- single algorithm—many compressions
- extensible, modular, and fast
- impressive compression results
- open source: BSD 3-clause license

# The LC algorithm: formulation

Given a network with weights  $\mathbf{w}$  and loss  $L$ :

$$\min_{\mathbf{w}, \Theta} L(\mathbf{w}) \quad \text{s.t.} \quad \mathbf{w} = \Delta(\Theta)$$

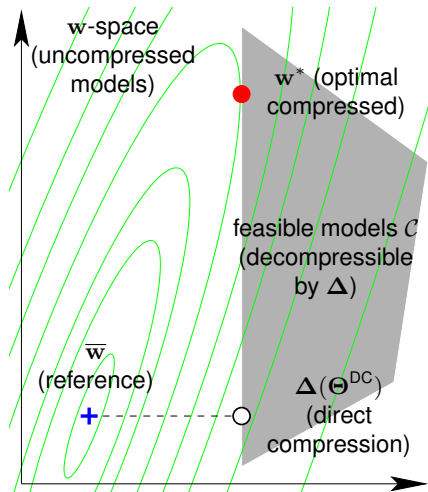
Diagram illustrating the optimization problem formulation:

- $L(\mathbf{w})$  is labeled as "task loss".
- $\mathbf{w}$  is labeled as "uncompressed weights".
- $\Theta$  is labeled as "low-dim. params".
- $\Delta$  is labeled as "decompression mapping".
- The constraint is  $\mathbf{w} = \Delta(\Theta)$ .

(1)

The compression details are abstracted in  $\Delta(\Theta)$ :

- e.g., low-rank:  $\Delta(\Theta) = \mathbf{U}\mathbf{V}^T$  where  $\Theta = \{\mathbf{U}, \mathbf{V}\}$



feasible set  $\mathcal{C} = \{\mathbf{w} \in \mathbb{R}^P : \mathbf{w} = \Delta(\Theta) \text{ for } \Theta \in \mathbb{R}^Q\}$   
figure from the slides of Miguel Á. Carreira-Perpián

## The LC algorithm (cont.)

The problem (1) can be solved by alternation of these two steps (while driving  $\mu \rightarrow \infty$ ), which form the basis of our software:

- Learning (L) step:

$$\min_{\mathbf{w}} L(\mathbf{w}) + \frac{\mu}{2} \|\mathbf{w} - \Delta(\Theta)\|^2$$

- This is a regular training of the model, but with a quadratic regularization term
- When you train a network, you already have the L step.

- Compression (C) step:

$$\min_{\Theta} \|\mathbf{w} - \Delta(\Theta)\|^2$$

- Independent of the loss, neural network structure, and the dataset.
- We provide a library of different C steps for many different compressions.

## The library of implemented compressions

Type	Forms
Quantization	Adaptive Quantization into $\{c_1, c_2, \dots, c_K\}$ Binarization into $\{-1, 1\}$ and $\{-c, c\}$ Ternarization into $\{-c, 0, c\}$
Pruning	$\ell_0$ -constraint (s.t., $\ \mathbf{w}\ _0 \leq \kappa$ ) $\ell_1$ -constraint (s.t., $\ \mathbf{w}\ _0 \leq \kappa$ ) $\ell_0$ -penalty ( $\alpha\ \mathbf{w}\ _0$ ) $\ell_1$ -penalty ( $\alpha\ \mathbf{w}\ _1$ )
Low-rank	Low-rank compression to a given rank Low-rank with <i>automatic</i> rank selection for FLOPs reduction Low-rank with <i>automatic</i> rank selection for storage compression
Additive Combinations	Quantization + Pruning Quantization + Low-rank Pruning + Low-rank Quantization + Pruning + Low-rank

## Easy exploration of compressions

Having an L-step implementation (**you only need one**), definition of compression is very simple:

quantize each layer with  
separate codebooks

```
compression_tasks = {  
  Param(l1.weight): (AsVector, AdaptiveQuantization(k=2)),  
  Param(l2.weight): (AsVector, AdaptiveQuantization(k=2)),  
  Param(l3.weight): (AsVector, AdaptiveQuantization(k=2))  
}
```

---

prune all but 5%

```
compression_tasks = {  
  Param([l1.weight, l2.weight, l3.weights]):  
    (AsVector, ConstraintL0Pruning(kappa=13310)) # 13310 = 5%  
}
```

---

prune first layer, low-rank to  
second, quantize third

```
compression_tasks = {  
  Param(l1.weight): (AsVector, ConstraintL0Pruning(kappa=5000)),  
  Param(l2.weight): (AsIs, LowRank(target_rank=10))  
  Param(l3.weight): (AsVector, AdaptiveQuantization(k=2))  
}
```

## Example: Low-rank AlexNet models with automatic rank selection

Our framework achieves competitive results in many compression schemes.

For example, using our code for rank-selection, we can achieve considerable speed-up on AlexNet:

	MFLOPs	top-1	top-5	$\rho_{\text{FLOPs}}$
Caffe-AlexNet [1]	724	42.70	<b>19.80</b>	1.00
<b>our, scheme 1, <math>\lambda = 0.17</math></b>	240	42.83	19.93	3.01
<b>our, scheme 2, <math>\lambda = 0.20</math></b>	<b>151</b>	<b>42.69</b>	19.83	<b>4.79</b>
Kim et al. [2], Tucker	272	n/a	21.67	2.66
Tai et al. [3], scheme 2	185	n/a	20.34	3.90
Wen et al. [4], scheme 1	269	n/a	20.14	2.69
Kim et al. [5], scheme 2	272	43.40	20.10	2.66
Yu et al. [6], filter prun.	232	44.13	n/a	3.12
Li et al. [7], filter prun.	334	43.17	n/a	2.16
Ding et al. [8], filter prun.	492	43.83	20.47	1.47

Compression with **our algorithm** vs published work using low-rank methods and structured pruning.

$\rho_{\text{FLOPs}}$  — reduction in FLOPs.

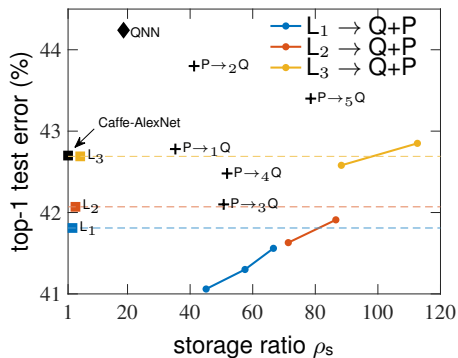
see Idelbayev and Carreira-Perpián [9] for full details



## Example: Additive compressions to achieve smallest AlexNet-s

The codebase allows easy exploration of new compression mechanisms. For example, we can further compress low-rank AlexNet models to target storage:

	Model	top-1	size, MB	MFLOPs
	Caffe-AlexNet Jia et al. [1]	42.70	243.5	724
our	$L_1 \rightarrow Q$ (1-bit) + P (0.25M)	<b>41.56</b>	3.7	238
	$L_2 \rightarrow Q$ (1-bit) + P (0.25M)	41.91	2.8	190
	$L_3 \rightarrow Q$ (1-bit) + P (0.25M)	42.85	<b>2.2</b>	<b>151</b>
	AlexNet-QNN of Wu et al. [10]	44.24	13.0	175
	$P \rightarrow_1 Q$ of Han et al. [11]	42.78	6.9	724
	$P \rightarrow_2 Q$ of Choi et al. [12]	43.80	5.9	724
	$P \rightarrow_3 Q$ of Tung and Mori [13]	42.10	4.8	724
	$P \rightarrow_4 Q$ of Yang et al. [14]	42.48	4.7	724
	$P \rightarrow_5 Q$ of Yang et al. [14]	43.40	3.1	724
	filter pruning of Li et al. [7]	43.17	232.0	334



## Source code and library features

Our code is written in Python using PyTorch, and open source under BSD 3-clause license:

<https://github.com/UCMerced-ML/LC-model-compression>

Using the provided code, you will be able to:

- replicate all reported experiments
- compress your own models with many available compression schemes

Our library is:

- modular and easily extensible
- only requires the L-step implementation: the regular learning of the model (using SGD)
- based on solid optimization principles
- single algorithm—many compressions
- time proven (development since 2017), with many publications [9, 15, 16, 17, 18]

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